

Involutions on S^4



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(joint work with Wenzhao Chen and Anthony Conway)

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Motivating Question

Question

What are the (non-identity) $\mathbb{Z}/2$ actions (involutions) on S^n ?

Definition

Two involutions $\rho: S^n \rightarrow S^n$ are (topologically) equivalent if they are conjugate in $\text{Homeo}(S^n)$.

The 2-sphere

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There are exactly three and they are all *linear*, that is (conjugate to) restrictions to S^2 of linear involutions on \mathbb{R}^3 .

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Remark

Smooth involutions are locally linear.

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Let F be the fixed-point set. Then we have linear involutions:

- ▶ ($\dim(F) = -1$) The antipodal involution (with quotient $\mathbb{R}P^4$),
- ▶ ($\dim(F) = 0$) 'Rotation' around an S^0 ,
- ▶ ($\dim(F) = 1$) 'Reflection' across an S^1 ,
- ▶ ($\dim(F) = 2$) Rotation around an S^2 , and
- ▶ ($\dim(F) = 3$) Reflection across an S^3 .

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There are infinitely many distinct locally linear involutions on S^4 with fixed-point set $F \cong S^2$, distinguished by $\pi_1(S^4 - F)$.

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Theorem

If ρ is a locally linear involution on S^4 with $\pi_1(S^4 - F) \cong \mathbb{Z}$, then ρ is linear.

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Theorem (Corollary of Freedman 1982)

There is a bijection between integer homology 3-spheres, and locally linear involutions on S^4 with a 3-dimensional fixed-point set.

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A $\mathbb{Z}HS^3$ Y bounds a unique contractible 4-manifold, and doubling this gives S^4 . Conversely, by Smith theory, the fixed-point set of such an involution is an $\mathbb{Z}HS^3$. □

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Corollary

Every smooth involution on S^4 with a 1-dimensional fixed-point set is topologically conjugate to a linear involution.

Proof idea

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Proof: Follow the classification of closed topological 4-manifolds with $\pi_1 = \mathbb{Z}/2$ [Hambleton-Kreck-Teichner 1994].

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In particular, taking the double covers of \overline{X}_0 and \overline{X}_1 , then gluing back in a symmetric $S^1 \times D^3$ gives the linear action on S^4 in both cases!

Non-uniqueness theorem

Theorem (B.-Chen-Conway 2025)

Let ρ be a fixed-point linear involution on S^4 with a 1-dimensional fixed-point set. Then $F = \text{Fix}(\rho)$ has exactly two equivariant tubular neighborhoods up to equivariant homeomorphism of pairs $(S^4, \nu(F))$.

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It is worth comparing this to the non-equivariant setting:

Theorem (Freedman-Quinn 1990, Theorem 9.3)

Let A be a locally flat submanifold in a 4-manifold M . Then A has a unique tubular neighborhood up to ambient isotopy, and in particular up to homeomorphism of pairs $(M, \nu(A))$.

Equivariant tubular neighborhoods

Proposition

If $\dim(F) \neq 1$, then any locally linear involution on S^4 is fixed-point linear, and in fact the equivariant tubular neighborhood $\nu(F)$ is unique.

Question (Non-existence of ETNs)

Is there a locally linear involution on S^4 which is not fixed-point linear?

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By the Proposition, such an involution would have 1-dimensional fixed-point set.

Proof sketch of non-uniqueness

How do we know that $\overline{X}_0 \cong \mathbb{R}P^2 \times D^2$ and \overline{X}_1 produce different ETNs and not different involutions?

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Theorem (Equivariant Schoenflies Theorem)

Let $\rho: S^4 \rightarrow S^4$ be a linear involution and let $\rho_3: S^3 \rightarrow S^3$ be a linear involution with $\dim(F_\rho) - 1 = \dim(F_{\rho_3})$.

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If $f: (S^3, \rho_3) \rightarrow (S^4, \rho)$ is an equivariant and locally flat embedding, then the closure of each component of $S^4 - f(S^3)$ is equivariantly homeomorphic to $(B^4, \rho|_{B^4})$.

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Proof.

The Bing-shrinking argument works equivariantly. □

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Theorem

There is a unique fixed-point linear involution ρ on S^4 with $\dim(F = \text{Fix}(\rho)) = 1$. (I.e. all such involutions are linear.)

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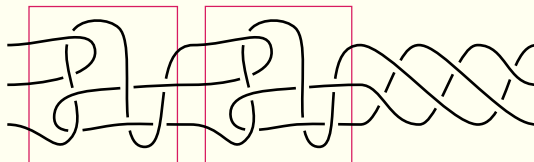


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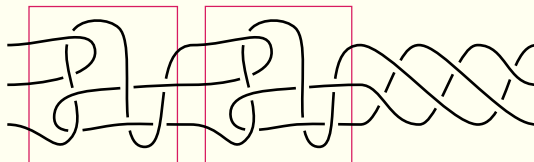


Figure: The closure of this braid is a freely 2-periodic knot.

In this case, an extension of ρ_3 to B^4 will have a 0-dimensional fixed-point set, so it is unique by [Kwasik-Schultz 1989].

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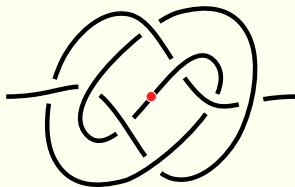


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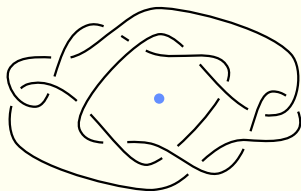


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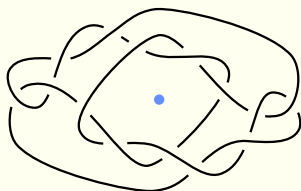


Figure: A strongly positive amphichiral knot.

In these cases, a fixed-point linear extension of ρ_3 to B^4 will have a 1-dimensional fixed point set, so it is unique by [B.-Chen-Conway 2025].

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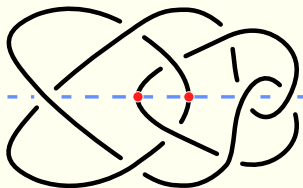


Figure: A strongly invertible knot.

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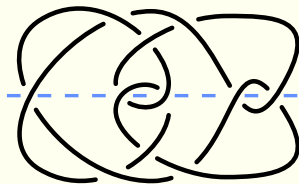


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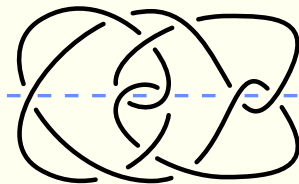


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For an involution $\rho_3: S^3 \rightarrow S^3$, consider a ρ_3 -invariant knot.

- ▶ If $\dim(F_{\rho_3}) = 2$, no prime knot is ρ_3 -invariant.

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Example theorem:

Theorem (Corollary of Kwasik-Schultz 1989)

If K is a freely 2-periodic knot which is equivariantly (topologically) slice, then K is standardly equivariantly (topologically) slice.

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For another example, the following theorem

Theorem (B.-Chen, 2024)

Every strongly negative amphichiral knot K with $\Delta_K(t) = 1$ is equivariantly (topologically) slice (with respect to some extension of ρ_3 to B^4).

Equivariant 4-genera

Given a ρ_3 -invariant knot K , to get an equivariant surface in B^4 with boundary K , we need to choose/construct an extension of ρ_3 to B^4 .

For another example, the following theorem

Theorem (B.-Chen, 2024)

Every strongly negative amphichiral knot K with $\Delta_K(t) = 1$ is equivariantly (topologically) slice (with respect to some extension of ρ_3 to B^4).

gets upgraded to

Theorem (B.-Chen-Conway, 2025)

Every strongly negative amphichiral knot K with $\Delta_K(t) = 1$ is standardly equivariantly (topologically) slice (i.e. with respect to the linear extension of ρ_3 to B^4).

Questions

What happens in the smooth category? Are there exotic involutions on S^4 with 0, 1, or 2 dimensional fixed-point sets?

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Thanks! Questions?